

## ARREST WARRANT

2014A2720200234

STATE OF SOUTH CAROLINA

☐ County/ ☒ Municipality of

Ridgeland

THE STATE

14-907

against

Randal Keith Beane

Address: 3283 Grays Hwy

Ridgeland, SC 29936-

Date: \_\_\_\_\_

Sex: M Race: \_\_\_\_\_ Height: \_\_\_\_\_

OR # SC0270200

Police Department

Prosecuting Officer: Jason Stone - 0048

Offense: Resisting / Resisting Arrest; Oppose or resist law

enforcement officer serving process or making

Offense Code: 0326

Code/Ordinance Sec: 16-09-0320(A)

This warrant is CERTIFIED FOR SERVICE in the

☐ County/ ☐ Municipality ofto be arrested and brought before me to be  
The accused  
att with according to the law.

(L.S.)

Signature of Judge

ate: \_\_\_\_\_

## RETURN

copy of this arrest warrant was delivered to  
attendant RANDAL KEITH BEANE

10/13/14

Signature of Constable/Law Enforcement Officer

## RETURN WARRANT TO:

General Sessions

265 Russell Street

Po Box 248

Ridgeland, SC 299360248

ORIGINAL

ORIGINAL

ORIGINAL

ORIGINAL

ORIGINAL

ORIGINAL

ORIGINAL

## STATE OF SOUTH CAROLINA

☐ County/ ☒ Municipality of

Ridgeland

Personally appeared before me the affiant Jason Stonebeing duly sworn deposes and says that defendant Randal Keith Beanedid within this county and state on or about 10/13/2014State of South Carolina (or ordinance of ☐ County/ ☒ Municipality of Ridgeland) violate the criminal laws of the  
in the following particulars:

DESCRIPTION OF OFFENSE: Resisting / Resisting Arrest; Oppose or resist law enforcement officer serving process or making arrest

I further state that there is probable cause to believe that the defendant named above did commit  
the crime set forth and that probable cause is based on the following facts:that on October 13, 2014 in the city/county of Jasper, Town of Ridgeland, one Randal Keith Beane did knowingly and willfully  
oppose and/or resist the lawful arrest by a law enforcement officer, or the defendant did knowingly and willfully assault, beat and/or  
wound a law enforcement officer while resisting arrest. Defendant was stopped by Ridgeland Police for a traffic violation. Defendant  
refused to provide police with identification information and physically resisted police after being placed under arrest.

## NOT Made Under Penalty of Perjury

## NOT Signed Under Oath

## NOT Sworn

Signature of Affiant

## STATE OF SOUTH CAROLINA

☐ County/ ☒ Municipality of

Ridgeland

Affiant's Address

P.O. Box 1119

Ridgeland, SC 29936-

Affiant's Telephone

(843)726-7530

## ARREST WARRANT

TO ANY LAW ENFORCEMENT OFFICER OF THIS STATE OR MUNICIPALITY OR ANY CONSTABLE OF THIS COUNTY:

It appearing from the above affidavit that there are reasonable grounds

on or about 10/13/2014defendant Randal Keith Beane☐ County/ ☒ Municipality of Ridgeland ) as set forth below.

DESCRIPTION OF OFFENSE: Resisting / Resisting Arrest; Oppose or resist law enforcement officer serving process or making arrest

Having found probable cause and the above affiant having sworn before me, you are empowered and directed to arrest the said de  
fendant and return him to be dealt with according to law. A copy of this Arrest Warrant shall be delivered to the defendant at the time  
soon thereafter as is practicable  
Sworn to and subscribed before me  
on 10/13/2014

Judge's Address

One Town Square

Judge's Telephone

(843)726-7500

Signature of Issuing Judge  
Thomas L. Seegins  
Judge Code: 6563

Issuing Court

☐ Magistrate☒ Municipal☐ Circuit

Exh. #1

TRUE COPY  
MARGARET BOSTICK  
CLERK OF COURT  
JASPER COUNTY, SCBY: [Signature]  
DATE: 5-7-2020

THE STATE OF SOUTH CAROLINA  
COUNTY OF JASPER

**BENCH WARRANT  
FAILURE TO APPEAR**

THE STATE  
VS.  
Randal Keith Beane

2014GCS2700554

2014A2720200234

Resisting / Resisting Arrest; Oppose or resist  
law enforcement officer serving process or  
making arrest

To all and Singular the Sheriffs Deputy Sheriffs Constables and other Peace Officers of the said State Greeting:

WHEREAS, at the Term of Court of General Sessions County Court for the County aforesaid, it was among other things Ordained  
that a Bench Warrant should be issued for the arrest of Randal Keith Beane

THESE ARE, THEREFORE, to command you and every one of you to make diligent search after the said above named and him  
to take and safely keep until he be delivered to the keeper of the Common Jail of the County or discharged by due course of law. And  
this shall be a good and sufficient warrant for you doing so, and for the keeper of said Jail receiving said above named from you and  
keeping him safely until he be discharged by due course of law.

WITNESS, Margaret Bostick, Clerk of Court of General Sessions and Common Pleas for the County of Jasper,  
April 17, 2015.

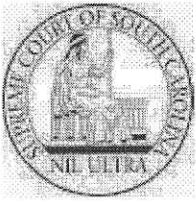
Margaret Bostick  
Clerk of Court of General Sessions and Common Pleas

**Exh. #2**

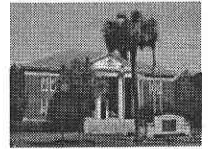
TRUE COPY  
MARGARET BOSTICK  
CLERK OF COURT  
JASPER COUNTY, SC

BY: [Signature]  
DATE: 5-7-2015





# Jasper County Fourteenth Judicial Circuit Public Index



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Switch View

## The State of South Carolina VS Randal Keith Beane

Case Number:	2014A2720200234	Court Agency:	General Sessions	Filed Date:	10/14/2014
Case Type:	Criminal-Clerk	Case Sub Type:			
Status:	Failure to Appear	Assigned Judge:	Clerk Of Court C P, G S, And Family Court	<u>Disposition Judge:</u>	<u>Solicitor</u>
Disposition:	Failure to Appear				
<u>Disposition Date:</u>	<u>07/17/2015</u>	Date Received:	10/14/2014	Arrest Date:	10/13/2014
Law Enf. Case:	14-907	True Bill Date:	11/20/2014	No Bill Date:	
Prosecutor Case:		Indictment Number:	2014GS2700554	Waiver Date:	
Probation Case:					

Case Parties Charges Sentencing Associated Cases Actions Financials Bonds

Click the ☒ icon to show associated parties.

Name	Address	Race	Sex	Year Of Birth	Party Type	Party Status	Last Updated
<input checked="" type="checkbox"/> Beane, Randal Keith	3283 Grays Hwy Ridgeland SC 29936		M	1967	Defendant		10/14/2014
<input checked="" type="checkbox"/> Beane, Randall	3283 Grays Hwy Ridgeland SC 29936		M		Bond Entity		12/12/2014
<input checked="" type="checkbox"/> Hughes, Robert Milton(Inactive)	PO Box 388 Ridgeland SC 29936				Defendant Attorney		11/18/2014
Stone, Jason	P.O. Box 1119 Ridgeland SC 29936				Officer		10/14/2014
Toporek, Matthew Alexander	PO Box 187 Ridgeland SC 29936				Solicitor		10/15/2014

## UNITED STATES DISTRICT COURT

RECEIVED BY: RCDATE: 7/20/17 TIME: 0830

SEALED

for the

Eastern District of Tennessee

U.S. MARSHAL E/TN  
KNOXVILLE, TNUnited States of America  
v.

RANDALL KEITH BEANE

Defendant

U.S. DISTRICT COURT  
EASTERN DIST. TENN.Case No. 3:17-CR- 82

SEALED

## ARREST WARRANT

SEALED

To: Any authorized law enforcement officer

YOU ARE COMMANDED to arrest and bring before a United States magistrate judge without unnecessary delay

(name of person to be arrested) RANDALL KEITH BEANE

who is accused of an offense or violation based on the following document filed with the court:

- ☒ Indictment   
 ☐ Superseding Indictment   
 ☐ Information   
 ☐ Superseding Information   
 ☐ Complaint  
☐ Probation Violation Petition   
☐ Supervised Release Violation Petition   
☐ Violation Notice   
☐ Order of the Court

This offense is briefly described as follows:

the defendant, did knowingly transmit and cause to be transmitted, by means of wire communication in interstate commerce, signals and sounds including funds he did not own, via wire, all in violation of Title 18, United States Code, Section 1343; devised a scheme to defraud financial institutions and to obtain moneys, funds, credits, assets, securities, and other property owned by and under the custody and control of financial institutions by means of false and fraudulent pretenses, representations, and promises, in order to obtain money and property fraudulently, in violation of Title 18, United States Code, Section 1344; did unlawfully and knowingly combine, conspire, confederate, and agree with each other and with other persons known and unknown to commit money laundering, in violation of Title 18, United States Code Sections 1956 and 1957

Date: 07/18/2017City and state: Knoxville, TN

Issuing officer's signature

U.S. Magistrate Judge

Printed name and title

## Return

This warrant was received on (date) 7-27-17, and the person was arrested on (date) 7-27-17  
 at (city and state) Knot Co GA

Date: 7-27-17

Arresting officer's signature

Exh. #4

Arresting officer's signature  
 Printed name and title

FID# 10365588

1774-0720-2481-J



FBI/Still

## UNITED STATES DISTRICT COURT

for the

Eastern District of Tennessee

RECEIVED BY: LOWDATE: 7/20/17 TIME: 0830U.S. MARSHAL E/TN  
KNOXVILLE, TN

SEALED

SEALED

United States of America  
v.

HEATHER ANN TUCCI-JARRAF

Defendant

Case No. 3:17-CR- 82

## ARREST WARRANT

Case No: 1:17-mj-531

Assigned To: Magistrate Judge Deborah A. Robinson

Date Assigned: 7/26/2017

Description: Arrest Warrant (Rule 40)

To: Any authorized law enforcement officer

YOU ARE COMMANDED to arrest and bring before a United States magistrate judge without unnecessary delay  
(name of person to be arrested) HEATHER ANN TUCCI-JARRAF  
who is accused of an offense or violation based on the following document filed with the court:

- ☒ Indictment ☐ Superseding Indictment ☐ Information ☐ Superseding Information ☐ Complaint  
☐ Probation Violation Petition ☐ Supervised Release Violation Petition ☐ Violation Notice ☐ Order of the Court

This offense is briefly described as follows:

the defendant, did unlawfully and knowingly combine, conspire, confederate, and agree with each other and with other persons known and unknown to the Grand Jury to commit money laundering, in violation of Title 18, United States Code, Sections 1956 and 1957.

Date: 07/19/2017City and state: Knoxville, TN

Issuing officer's signature

U.S. Magistrate Judge

Printed name and title

Deputy Clerk

Return

This warrant was received on (date) 7-20-17, and the person was arrested on (date) 7-26-17  
at (city and state) \_\_\_\_\_

Date: 7-26-17

Arresting officer's signature

Matthew Sarr's DUSM

Printed name and title

Exh. #5

FID#10365908

1774-0720-2495-J

## 18a U.S. Code Rule 9. Arrest Warrant or Summons on an Indictment or Information

U.S. Code      Notes

### (a) ISSUANCE.

The court must issue a warrant—or at the government’s request, a summons—for each defendant named in an indictment or named in an information if one or more affidavits accompanying the information establish probable cause to believe that an offense has been committed and that the defendant committed it. The court may issue more than one warrant or summons for the same defendant. If a defendant fails to appear in response to a summons, the court may, and upon request of an attorney for the government must, issue a warrant. The court must issue the arrest warrant to an officer authorized to execute it or the summons to a person authorized to serve it.

### (b) FORM.

(1) Warrant. The warrant must conform to Rule 4(b)(1) except that it must be signed by the clerk and must describe the offense charged in the indictment or information.

(2) Summons. The summons must be in the same form as a warrant except that it must require the defendant to appear before the court at a stated time and place.

### (c) EXECUTION OR SERVICE; RETURN; INITIAL APPEARANCE.

#### (1) Execution or Service.

(A) The warrant must be executed or the summons served as provided in Rule 4(c)(1), (2), and (3).

(B) The officer executing the warrant must proceed in accordance with Rule 5(a)(1).

(2) Return. A warrant or summons must be returned in accordance with Rule 4(c)(4).

(3) Initial Appearance. When an arrested or summoned defendant first appears before the court, the judge must proceed under Rule 5

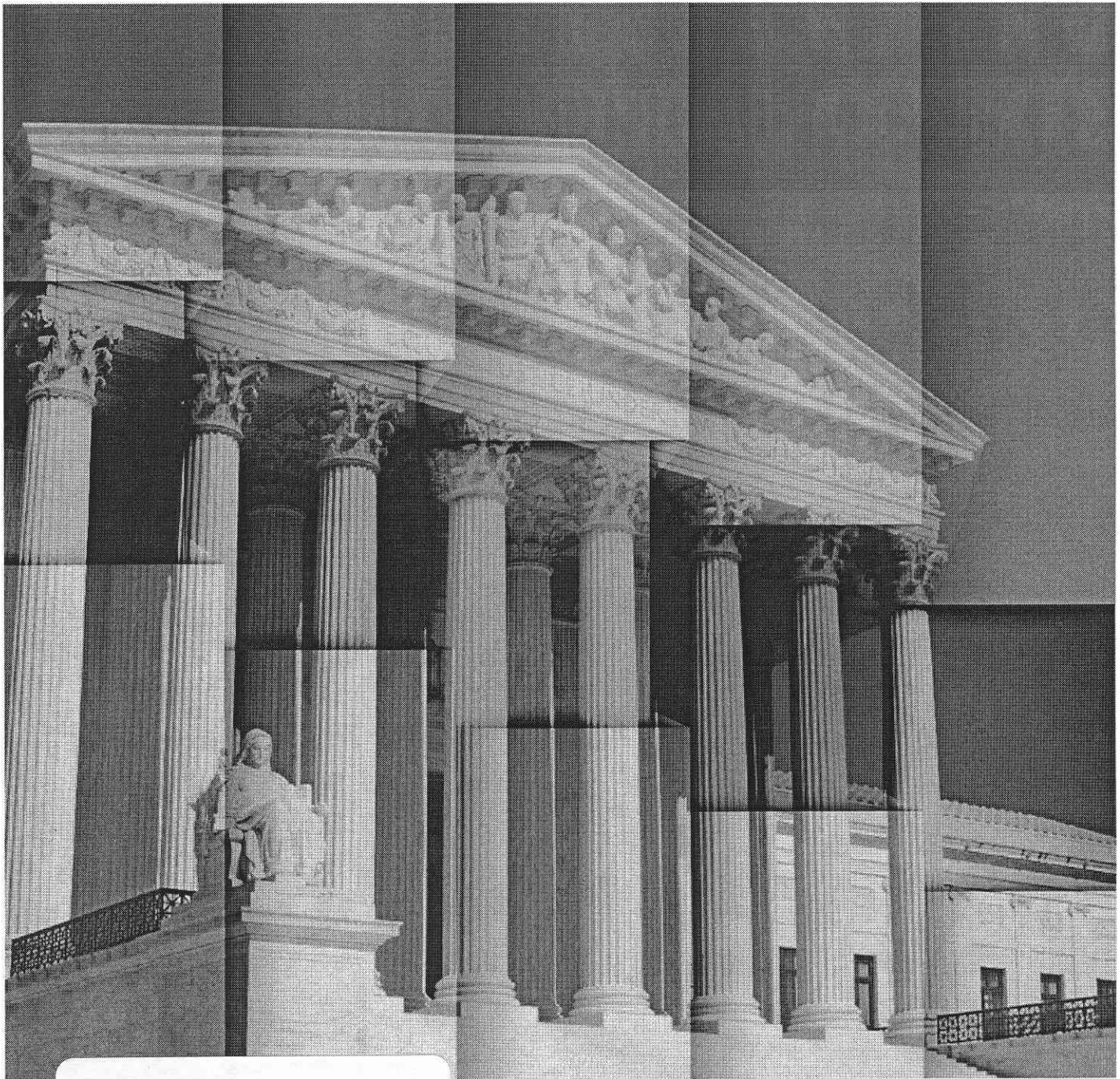
### (d) WARRANT BY TELEPHONE OR OTHER MEANS.

In accordance with Rule 4.1, a magistrate judge may issue a summons based on information communicated by telephone or electronic means.

**Exh. #6**



# U N D E R S T A N D I N G THE FEDERAL COURTS



**Exh. #7.1**

ADMINISTRATIVE OFFICE OF THE U.S. COURTS



# THE JURISDICTION OF THE FEDERAL COURTS

Before a federal court can hear a case, or “exercise its jurisdiction,” certain conditions must be met.

First, under the Constitution, federal courts exercise only “judicial” powers. This means that federal judges may interpret the law only through the resolution of actual legal disputes, referred to in Article III of the Constitution as “Cases or Controversies.”

A court cannot attempt to correct a problem on its own initiative, or to answer a hypothetical legal question.

Second, in an actual case or controversy, the plaintiff in a federal lawsuit also must have legal “standing” to ask the court for a decision. That means the plaintiff must have been aggrieved, or legally harmed in some way, by the defendant.

Third, the case must present a category of dispute that the law in question was designed to address, and it must be a complaint that the court has the power to remedy. In other words, the court must be authorized, under the Constitution or a federal law, to hear the case and grant appropriate relief to the plaintiff.

Finally, the case cannot be “moot,” that is, it must present an ongoing problem for the court to resolve. The federal courts, thus, are courts of “limited” jurisdiction because they may only decide certain types of cases as provided by Congress or as identified in the Constitution.



Although the details of the complex web of federal jurisdiction that Congress has given the federal courts is beyond the scope of this brief guide, it is important to understand that there are two main sources of the cases coming before the federal courts: “federal question” jurisdiction and “diversity” jurisdiction.

In general, federal question jurisdiction arises in cases that involve the U.S. government, the U.S. Constitution or federal laws, or controversies between states or between the United States and foreign governments. A case that raises such a “federal question” may be filed in federal court. Examples of such cases might include a claim by an individual for entitlement to money under a federal government program such as Social Security, a criminal prosecution by the government that alleges someone violated a federal law, or a challenge to actions taken by a federal agency.

A case also may be filed in federal court based on the “diversity of citizenship”

**Exh. #7.2**



## Federal Question Jurisdiction

### Overview

Federal question jurisdiction is one of the two ways for a federal court to gain subject-matter jurisdiction over a case (the other way is through diversity jurisdiction).

Generally, in order for federal question jurisdiction to exist, the cause of action must arise under federal law. More specifically, however, there are both constitutional and statutory requirements that must be met before jurisdiction can be found.

### Interpreting "Arising Under" - Constitutional Requirement

Under Article III of the Constitution, federal courts can hear "all cases, in law and equity, arising under this Constitution, [and] the laws of the United States..." US Const, Art III, Sec 2. The Supreme Court has interpreted this clause broadly, finding that it allows federal courts to hear any case in which there is a federal ingredient. Osborn v. Bank of the United States, 9 Wheat. (22 U.S.) 738 (1824).

### 28 USC 1331 - The Statutory Component

For federal question jurisdiction to exist, the requirements of 28 USC 1331 must also be met. This statute gives federal courts jurisdiction only to those cases which "aris[e] under" federal law. 28 USC 1331. This requirement has been found to be narrower than the requirements of the constitution. The Supreme Court has found that a "suit arises under the law that creates the cause of action," American Well Works v. Layne, 241 US 257 (1916), and therefore, only suits based on federal law, not state law suits, are most likely to create federal question jurisdiction, Louisville & Nashville R. Co. v. Mottley, 211 U.S. 149 (1908).

### Well-Pleaded Complaint Rule

Typically, in order to have federal question jurisdiction, the plaintiff's complaint must be a well-pleaded one. This means that the plaintiff's initial complaint must contain the references to the federal question and the federal issue evoked. The federal question and issue cannot arise in an anticipated defense, it must be presented from the initial complaint. This requirement was established in Louisville & Nashville R. Co. v. Mottley, and as such it is often referred to as the "Mottley Rule."

### Grable Test

Another test that courts will often use to determine federal question jurisdiction is called the Grable Test, established in Grable & Sons Metal Products, Inc. v. Darue Engineering & Manufacturing. This is a two-part test

**Exh. #8**

## § 1-206. Presumptions.

Whenever the Uniform Commercial Code creates a "presumption" with respect to a fact, or provides that a fact is "presumed," the trier of fact must find the existence of the fact unless and until evidence is introduced that supports a finding of its nonexistence.

**Exh. #9**



## § 1-304. Obligation of Good Faith.

Every contract or duty within the Uniform Commercial Code imposes an obligation of good faith in its performance and enforcement.

< § 1-303. Course of Performance, Course of Dealing, and Usage of Trade. up § 1-305. Remedies to be Liberally Administered. >

**Exh. #10**





### III. Conclusion

Accordingly, the Court hereby **GRANTS** the government's Motion in Limine to Prohibit Jurisdictional Argument [Doc. 78]. It is therefore **ORDERED** that the defendants are prohibited from offering any evidence, testimony, or argument at trial concerning the following subjects: (1) whether this Court has subject-matter jurisdiction over these proceedings; (2) whether the United States government is defaulted, has been foreclosed, or is otherwise legally impaired; and (3) whether the United States government has legal authority to bring a prosecution of the defendants for the charged offenses.

IT IS SO ORDERED.

s/ Thomas A. Varlan  
CHIEF UNITED STATES DISTRICT JUDGE

**Exh. #11.2**

## Standing

### Overview

Standing, or *locus standi*, is capacity of a party to bring suit in court.

### Standing in State Court

A state's statutes will determine what constitutes standing in that particular state's courts. These typically revolve around the requirement that plaintiffs have sustained or will sustain direct injury or harm and that this harm is redressable.

### Standing in Federal Court

At the federal level, legal actions cannot be brought simply on the ground that an individual or group is displeased with a government action or law. Federal courts only have constitutional authority to resolve actual disputes (see Case or Controversy).

In Lujan v. Defenders of Wildlife (90-1424), 504 U.S. 555 (1992), the Supreme Court created a three-part test to determine whether a party has standing to sue:

1. The plaintiff must have suffered an "injury in fact," meaning that the injury is of a legally protected interest which is (a) concrete and particularized and (b) actual or imminent
2. There must be a causal connection between the injury and the conduct brought before the court
3. It must be likely, rather than speculative, that a favorable decision by the court will redress the injury

### Further Reading

For Supreme Court decisions focusing on the "standing" issue, see, e.g., County of Riverside v. McLaughlin, 500 U.S. 44 (1991), Northeastern Fla. Chapter of the Associated Gen. Contractors v. City of Jacksonville, 508 U.S. 656 (1993) and Lujan v. Defenders of Wildlife, 504 U.S. 555 (1992).

**Exh. #12**



IN THE UNITED STATES DISTRICT COURT  
FOR THE EASTERN DISTRICT OF TENNESSEE  
AT KNOXVILLE

UNITED STATES OF AMERICA,	)	
	)	
Plaintiff,	)	
	)	
vs.	)	Case No.: 3:17-CR-82
	)	
RANDALL KEITH BEANE AND	)	
HEATHER ANN TUCCI-JARRAF,	)	
	)	
Defendants.	)	

**VOLUME I of VIII**

**JURY TRIAL PROCEEDINGS  
BEFORE THE HONORABLE THOMAS A. VARLAN**

January 23, 2018  
9:16 a.m. to 5:00 p.m.

**APPEARANCES:**

<b>FOR THE PLAINTIFF:</b>	CYNTHIA F. DAVIDSON, ESQUIRE ANNE-MARIE SVOLTO, ESQUIRE Assistant United States Attorney United States Department of Justice Office of the United States Attorney 800 Market Street Suite 211 Knoxville, Tennessee 37902
<b>FOR THE DEFENDANT: RANDALL BEANE</b>	RANDALL KEITH BEANE, PRO SE Blount County Detention Center 920 East Lamar Alexander Parkway Maryville, Tennessee 37904
<b>FOR THE DEFENDANT: (As Elbow Counsel)</b>	STEPHEN G. McGRATH, ESQUIRE 9111 Cross Park Drive Suite D-200 Knoxville, Tennessee 37923

**Exh. #13.1**

**REPORTED BY:**

Rebekah M. Lockwood, RPR, CRR  
Official Court Reporter  
(865) 210-6698  
P.O. Box 1823  
Knoxville, Tennessee 37901-1823

Parker Still - Direct Examination

1 there in Farragut.

2 Q Okay. Did someone from the FBI actually reach out to  
3 Buddy Gregg?

4 A Yes, ma'am. It's my understanding that we did reach  
5 out. Someone from our office did reach out to Buddy Gregg.

6 Q And what was your goal in reaching out to them?

7 A So if I may back up just one step there,  
8 Ms. Davidson.

9 So our goal in reaching out to them, at the time, we  
10 had information that the motor home was there or going to be  
11 there. So in an effort to find out that additional  
12 information, that's why they were reached out to, just to  
13 determine where the motor home was at the time.

14 Q Okay. And were you seeking not only to find the  
15 defendant, but also to protect the asset?

16 A Oh, absolutely. So, I mean, in this case, we had  
17 half a mill -- half-a-million-dollar motor home. And what our  
18 goal is to, a lot of times in these victim cases is, we want to  
19 recover the asset for the victim. In this case, USAA is our  
20 victim, and we have -- we know where the motor home is located.  
21 Absolutely right.

22 We had multiple issues. One is safety. The -- if  
23 Mr. Beane had gotten out on the open road, we had -- we don't  
24 know. I mean, at this time, we don't know. We know he used  
25 funds to purchase a -- used stolen funds to purchase an RV. We

UNITED STATES DISTRICT COURT

**Exh. #13.2**



Parker Still - Direct Examination

1 don't know anything else about, you know, what his ultimate  
2 intent with that. It's 45 feet. You know, you can imagine  
3 our -- what, you know -- the possibilities are unlimited.

4 And absolutely, we want to recover an asset for the  
5 victim in any case. That's always one of our -- we want to  
6 prosecute the bad guys and we want to get the assets.  
7 Absolutely right. That's what the American public expects of  
8 us.

9 Q Okay. And so did you learn from Buddy Gregg that the  
10 defendant was coming to pick the RV up?

11 A We did. So our office is -- had information that  
12 later in the day or at some time Mr. Beane would be out there  
13 to purchase -- to pick that RV up. And to the point where  
14 my -- at this point, I'm drafting an affidavit to put together  
15 so we can go try to seize the motor home.

16 Q Okay. And did you have to act quick and go to get  
17 Mr. Beane?

18 A Yeah. We did. We -- absolutely. So we had  
19 information that came -- it was relayed down to me. So I'm at  
20 the computer there working on this affidavit to go seize the  
21 motor home. I think I'm speaking with Ms. Svolto and I  
22 primarily and as you, Ms. Davidson, so we're getting this  
23 information in.

24 All of a sudden, we have information that Buddy Gregg  
25 is going to turn it over or he is going to leave in this motor

UNITED STATES DISTRICT COURT

**Exh. #13.3**

Parker Still - Direct Examination

1 home. So, yeah, it was similar to a bank robbery. I grabbed  
2 Special Agent Jimmy Durand. We literally run towards the door.

3 Because, I mean, you got to understand where we're at  
4 on this. We've got a motor home, an asset that is  
5 potentially -- we don't know where it's going to be. And you  
6 say, well, it's a 45-foot motor home. You may be able to find  
7 it. I don't know. You just don't know. You don't know if  
8 these things could be stripped down. A million different  
9 things could happen.

10 So I literally jump up from the computer, I grab  
11 Jimmy, and we start running towards the door to go out and stop  
12 this transaction from taking place.

13 In the meantime, in the parking garage, we literally  
14 go down our steps, I come across Special Agent Jason Pack,  
15 Special Agent Joelle Vehec. I say, "Come with us. We've got  
16 to get out to Buddy Gregg. We've got -- the motor home is  
17 leaving." Task Force Officer Jaron Patterson is also involved,  
18 and I think additional Knox County deputies were contacted all  
19 in this time frame.

20 So we're out trying -- yes, we're flying down 40,  
21 lights on, to get out there and stop this transaction.

22 Q Okay. And before you went out there, did you do a  
23 records search to see whether or not there was an outstanding  
24 warrant?

25 A Yeah. Our office did. There was -- it was

UNITED STATES DISTRICT COURT

**Exh. #13.4**



Parker Still - Direct Examination

1 resist?

2 A He did. He continued to resist. Even while Jimmy  
3 was putting the cuffs on him at the end, he was continuing --  
4 you know, ultimately, he did stop resisting once Jimmy was able  
5 to get his arms -- hands behind his back.

6 Q Did you have to put him on the ground?

7 A He did. He was what we refer to as proned out. You  
8 know, I mean, he was out on the concrete right there on the  
9 side there.

10 Q Okay. Were you -- after he was arrested, were you  
11 asked to contact anyone by the defendant's friends?

12 A Yes. I was provided a piece of paper with the name  
13 Heather on it. And it contained a phone number. And at this  
14 time, it was represented at the scene to us that this was an  
15 attorney or someone we should call, yes, ma'am.

16 Q Okay. And I'm going to show you what's marked as  
17 Government's Exhibit 1. If you look in front of you, there's  
18 an exhibit folder.

19 A Okay.

20 Q And just turn to No. 1.

21 A Okay. I'm there.

22 Q Do you recognize those pictures?

23 A Yes. That is the motor home that was -- that  
24 Mr. Beane was on the day that was purchased with stolen money.

25 Q Is that -- are those pictures an accurate

UNITED STATES DISTRICT COURT

**Exh. #13.5**

Parker Still - Cross-Examination

1 of them that we are bound by, the United States Constitution,  
2 the FBI internal rules, Department of Justice, big umbrella,  
3 you know, that we fall under. State of Tennessee, you know, I  
4 mean, there's a lot of rules and regulations out there.

5 Q Okay. And what are the laws regarding an arrest, a  
6 physical arrest and detainment? What are the actual criminal  
7 rules of procedure that you are required to follow, such as a  
8 warrant to be able to take that, do you have to have a warrant  
9 to be able to arrest someone?

10 A No, ma'am. I can arrest someone on probable cause  
11 without a warrant. I don't need a warrant to arrest someone.

12 Q Okay. But let's go into the probable cause. That  
13 day, do you believe that you had probable cause that day to  
14 arrest Randy Keith Beane?

15 A Without a doubt, ma'am. He is sitting in a vehicle  
16 purchased with stolen money with the vehicle running. You  
17 better believe I had probable cause. I saw it with my own two  
18 eyes.

19 Q Okay. And you stated that you -- that there are  
20 basically two ways that you receive cases. You stated, one,  
21 that you receive complaints, you rely on private citizens  
22 making complaints, and the second one was that -- the second  
23 one was that banks and institutions are a big source of your  
24 cases received. Is that correct?

25 A Yes, ma'am. That's correct. But we do receive cases

UNITED STATES DISTRICT COURT

**Exh. #13.6**



Parker Still - Cross-Examination

1 their career, is they go work for financial institutions.

2 Q Uh-huh. Okay. So on July 11th, let's go to that  
3 date --

4 A Yes, ma'am.

5 Q -- if you would.

6 A Sure.

7 Q Now, you stated you were working on an affidavit to  
8 seize this vehicle. You said it was 45 feet long. You were  
9 concerned about safety if this vehicle had gotten out, you  
10 know, it's 45 feet long, it could hurt someone, it could -- you  
11 never know. And you wanted -- you're always there to protect?

12 A You never know. Looking to what just happened in New  
13 York with somebody mowing people down, that stuff's going on  
14 everywhere. We don't know. We know a vehicle is purchased  
15 with stolen funds and we do not know what the intent of that  
16 individual is to use with that vehicle.

17 Q So what actual information, when you were writing  
18 this affidavit, okay, for the seizure of the vehicle --

19 A Right.

20 Q -- what actual information had you received that  
21 there was actually a possible crime committed by Mr. Beane to  
22 believe that the RV wasn't his?

23 A The information primarily from what I've stated from  
24 USAA at the time. That's what we were relying on, that  
25 information from USAA that is telling us that their money has

UNITED STATES DISTRICT COURT

**Exh. #13.7**

Parker Still - Cross-Examination

1 been stolen.

2 Q Was there a complaint filed so it's in writing or was  
3 this just a phone conversation?

4 A No. So we got some -- we had some written  
5 information from USAA, and then we -- I believe I was  
6 referencing back to my 302, again that -- the memorandum, where  
7 I'm actually on the phone. We're conducting an interview with  
8 Mr. Brown, at this time who you're referencing, to get all that  
9 information, yes, ma'am.

10 Q Okay. So you found out about the funds approximately  
11 the 10th?

12 A Uh-huh.

13 Q And on the 11th, you didn't have any kind of written  
14 report from USAA, just that someone had stolen their money.

15 A We had just some -- some basic facts that were  
16 provided to us by USAA in a document, yes, ma'am.

17 Q In a document?

18 A Yes, ma'am.

19 Q And that document is what document?

20 A I believe.

21 Q On USAA letterhead or --

22 A I think it was attached to an e-mail from USAA.  
23 Again, and I followed up with an interview.

24 Q Uh-huh. And what was this attachment?

25 A There was some notes I know, like I was describing,

UNITED STATES DISTRICT COURT

**Exh. #13.8**



Parker Still - Cross-Examination

1 Q Please just answer the question --

2 A No. I'll --

3 Q -- yes or no, was the first time that you met Randall  
4 on July 11th when your teams passed him out of the vehicle?  
5 Was that the first time?

6 A The first time we ever met Mr. Beane was on  
7 July 11th.

8 Q When you pulled him out of the RV. Is that correct?

9 A When I -- when we -- when we removed him from an RV  
10 purchased with stolen money that was running.

11 Q Okay. I asked you, is that correct? And I can  
12 appreciate --

13 THE COURT: Was the first time you met Mr. Beane was  
14 when you approached him and took him out of the RV on or around  
15 July 11th?

16 THE WITNESS: Yes, Your Honor.

17 THE COURT: All right. Go on to the next question.

18 MS. TUCCI-JARRAF: Thank you.

19 BY MS. TUCCI-JARRAF:

20 Q Okay. I want to keep this very --

21 A Oh, no, ma'am. I'm just -- I'm here to answer your  
22 questions.

23 Q Okay.

24 A I'm happy to do so, as long as you want to ask them.

25 Q Okay. So at no other time prior to that had you

UNITED STATES DISTRICT COURT

**Exh. #13.9**

Parker Still - Cross-Examination

1 actually tried to figure out whether that money could possibly  
2 be Mr. Beane's?

3 A We had information from USAA, ma'am, that we --  
4 credible, reliable information from their financial  
5 investigators that this money was stolen. That's what we were  
6 working with at the time.

7 Q Okay.

8 A Uh-huh.

9 Q So then I have a question for you, if your -- I get  
10 your experience regarding investigating crimes.

11 A Sure.

12 Q Trying to locate them, I appreciate that.

13 A Yes, ma'am.

14 Q More than you could know. My question is, is how  
15 familiar are you with actual banking, as far as how banking  
16 actually works, money transactions actually work, the inner  
17 workings of banking? Have you ever worked for a bank?

18 A No, ma'am. I have never worked for a bank.

19 Q Okay. Have you ever been an attorney in private  
20 capacity, as far as a consultant or any other for a bank?

21 A You know, I did work some for a -- I did some work  
22 for a bank. I don't know -- I wouldn't go as far as saying I  
23 was in-house counsel or anything like that. But I have done  
24 work for a bank, yes, ma'am.

25 Q Okay.

UNITED STATES DISTRICT COURT

**Exh. #13.10**



Parker Still - Cross-Examination

1 they literally went in, took this man out because he believed  
2 that USAA Bank was a victim based off of the information.  
3 We're trying to determine what information they had in order to  
4 arrest Randall Beane without any kind of warrant at that point.

5 THE COURT: All right. I'll overrule the objection,  
6 since we've gone down this line. Maybe we can finish it up.  
7 But go ahead.

8 MS. TUCCI-JARRAF: Thank you.

9 THE COURT: Answer the question to the extent you  
10 can.

11 THE WITNESS: Yes, Your Honor.

12 Ma'am, the general understanding of the ACH transfer  
13 system and a Fedwire, is that your question?

14 BY MS. TUCCI-JARRAF:

15 Q My question is, just what is your general  
16 understanding -- because were you the one that made the call to  
17 go and arrest -- well, to arrest, we'll just say at this point,  
18 to arrest Randall Beane and seize the vehicle? Were you the  
19 one that made that call?

20 A You know, I think we -- I spoke to the U.S.  
21 Attorney's Office to let them know what we were on the way to  
22 do, yes, ma'am. I -- so I guess, yeah, I did. I was letting  
23 know the U.S. Attorney's Office.

24 I think we're getting a little off track here. I  
25 mean, you know, when an FBI gets a call that a bank is getting

UNITED STATES DISTRICT COURT

**Exh. #13.11**

Parker Still - Cross-Examination

1   robbed, we don't sit there and say, "Hey, do you know" -- I  
2   mean, we don't ask a million questions. We go. That's what we  
3   did today or did then.

4           Q     When a bank gets robbed, do you usually have a bank  
5   robber and a banker and a gun or some kind of weapon and cash?  
6   You're talking about, per Ms. Svolto's opening statement, that  
7   he was robbing a bank? I'm asking you, because it appears from  
8   what you have said that you believed that he had stolen money  
9   using a transfer system that unless you are inside the Federal  
10   Reserve Banking System and the IT source code dealing with the  
11   source code and all that, most people don't know what it is.

12          A     Yes, ma'am.

13          Q     I'm asking you, because you are the one that  
14   supposedly made the call, except for what inference you just  
15   tried to make that U.S. attorneys might have some  
16   responsibility as --

17          A     No.

18          Q     -- to the events that day, but that you made the call  
19   to go in and arrest what you believed was the criminal to  
20   protect what you believed at the time was the victim.

21                You stated that because of documents you had  
22   received, which were IP coding and their e-mail, which we don't  
23   know what was in it, but that they had been robbed, something  
24   to that effect, that you believed that Randall Beane was  
25   already a criminal and that the money could not have been his,

UNITED STATES DISTRICT COURT

**Exh. #13.12**



Parker Still - Cross-Examination

1 to jail.

2 Q Did you ever provide a copy of that alleged South  
3 Carolina outstanding warrant to Ms. Davidson or anyone on  
4 the -- at the DOJ?

5 A You know, I would have to look back on it. What we  
6 normally do is we turn our file -- our discovery file over to  
7 the prosecutors.

8 Q Okay. On July 11th, prior to or at any moment, did  
9 you ever present a warrant to Mr. Beane or the other  
10 unidentified male and unidentified female that you found in  
11 that vehicle? Did you ever present an actual paper warrant or  
12 electronic warrant to any of those three?

13 A No, ma'am. And I -- I don't -- I mean, that's -- I  
14 think that's some of TV stuff where we serve people, put a  
15 warrant in their hands. You know, that's -- I don't -- that's  
16 just not general practice where you would, you know, serve  
17 someone -- hand someone a warrant, generally.

18 Q Okay.

19 A I'm not saying it doesn't happen. I'm just saying,  
20 you know, the fact that we -- you know, we've made -- you know,  
21 we have -- it's a team effort. We rely on information that is  
22 provided to us, and we go out and we do our jobs. And on that  
23 day --

24 Q Sorry.

25 A Oh, go ahead.

UNITED STATES DISTRICT COURT

**Exh. #13.13**

IN THE UNITED STATES DISTRICT COURT  
EASTERN DISTRICT OF TENNESSEE  
AT KNOXVILLE

UNITED STATES OF AMERICA

v.

RANDALL KEITH BEANE

No. 3:17-cr-82-01

---

NOTICE OF APPEAL

---

Notice is hereby given that Randall Keith Beane hereby appeals to the United States Court of Appeals for the Sixth Circuit from the Final Judgment entered in this action on 24<sup>th</sup> day of July, 2018.

Defendant's signature

*UPHOLD, PREJUDICE*  
*Randall Keith Beane*

**Exh. #14**

IN THE UNITED STATES DISTRICT COURT  
FOR THE EASTERN DISTRICT OF TENNESSEE  
AT KNOXVILLE

UNITED STATES OF AMERICA

v.

Case No. 3:17-CR-82-02

HEATHER ANN TUCCI-JARRAF,

**NOTICE OF APPEAL**

Notice is hereby given that Heather Ann Tucci-Jarraf, hereby appeals to the United States Court of Appeals for the Sixth Circuit from the Final Judgment entered in this action on the 17th day of July, 2018

Defendant's signature

*Heather Ann Tucci-Jarraf*

**Exh. #15**



UNITED STATES COURT OF APPEALS  
FOR THE SIXTH CIRCUIT

Deborah S. Hunt  
Clerk

100 EAST FIFTH STREET, ROOM 540  
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CINCINNATI, OHIO 45202-3988

Tel. (513) 564-7000  
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Filed: September 14, 2018

Mr. Stephen Louis Braga  
University of Virginia  
Appellate Litigation Clinic  
580 Massie Road  
SL-251  
Charlottesville, VA 22903

Re: Case No. 18-5777, *USA v. Randall Beane*  
Originating Case No. : 3:17-cr-00082-1

Dear Counsel,

This confirms your appointment to represent the defendant in the above appeal under the Criminal Justice Act, 18 U.S.C. § 3006A.

You must file your appearance form and order transcript within 14 days of this letter. The appearance form and instructions for the transcript order process can be found on this court's website. Please note that transcript ordering in CJA-eligible cases is a two-part process, requiring that you complete both the financing of the transcript (following the district court's procedures) and ordering the transcript (following the court of appeals' docketing procedures). Additional information regarding the special requirements of financing and ordering transcripts in CJA cases can be found on this court's website at <http://www.ca6.uscourts.gov/criminal-justice-act> under "Guidelines for Transcripts in CJA Cases."

Following this letter, you will receive a notice of your appointment in the eVoucher system. That will enable you to log into the eVoucher system and track your time and expenses in that system. To receive payment for your services at the close of the case you will submit your voucher electronically via eVoucher. Instructions for using eVoucher can be found on this court's website. Your voucher must be submitted electronically no later than 45 days after the final disposition of the appeal. *No further notice will be provided that a voucher is due.* Questions regarding your voucher may be directed to the Clerk's Office at 513-564-7078.

**Exh. #16.1**

Finally, if you become aware that your client has financial resources not previously disclosed or is no longer eligible for appointed counsel under the Criminal Justice Act, please contact the Clerk or Chief Deputy for guidance.

Sincerely yours,

s/Ken Loomis  
Administrative Deputy  
Direct Dial No. 513-564-7067

cc: Mr. Randall Keith Beane  
Mr. Bryant L. Crutcher  
Ms. Cynthia F. Davidson  
Mr. John L. Medearis  
Ms. Anne-Marie Svolto

**Exh. #16.2**

RECOMMENDED FOR FULL-TEXT PUBLICATION  
Pursuant to Sixth Circuit I.O.P. 32.1(b)

File Name: 19a0251p.06

**UNITED STATES COURT OF APPEALS**

**FOR THE SIXTH CIRCUIT**

UNITED STATES OF AMERICA,

*Plaintiff-Appellee,*

v.

HEATHER ANN TUCCI-JARRAF (18-5752); RANDALL  
KEITH BEANE (18-5777),

*Defendants-Appellants.*

Nos. 18-5752/5777

Appeal from the United States District Court  
for the Eastern District of Tennessee at Knoxville.  
No. 3:17-cr-00082—Thomas A. Varlan, District Judge.

Decided and Filed: September 24, 2019

Before: SUTTON, COOK, and THAPAR, Circuit Judges.

**COUNSEL**

**ON BRIEF:** Dennis G. Terez, Beachwood, Ohio, for Appellant in 18-5752. Stephen L. Braga, UNIVERSITY OF VIRGINIA SCHOOL OF LAW, Charlottesville, Virginia, for Appellant in 18-5777. Anne-Marie Svolto, UNITED STATES ATTORNEY'S OFFICE, Knoxville, Tennessee, for Appellee.

**OPINION**

**Exh. #17.1**

SUTTON, Circuit Judge. Faced with financial challenges and rising unpaid bills, the individual has two legal options: shed the debts through the humbling act of filing for bankruptcy or find a new source of assets. Randall Beane, with Heather Tucci-Jarraf's assistance, tried to find a new source of assets: an alleged trust fund of government money in his



Nos. 18-5752/5777

*United States v. Tucci-Jarraf, et al.*

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persuade them that he rightfully owned the money he was spending. Her assurances worked, and the bank stopped cancelling the payments.

But just for a while. By then, as it happens, a federal investigation had begun. On July 11, federal officers learned that Beane planned to drive off the dealership lot in his new motor home. Officers rushed to the scene, arriving just as Beane started the engine. He refused to exit the vehicle, and agents had to remove him. One of the motor home's other occupants provided officers with Tucci-Jarraf's phone number and requested that they contact her. On the phone, Tucci-Jarraf claimed that she was "planning military operations." R. 162 at 37. Officers arrested her in Washington, D.C., where she had gone after contacting the Secret Service to request a meeting with the President.

Beane and Tucci-Jarraf responded to their arrests with a flood of frivolous motions. They demanded hearings on their own identities, the identities of the arresting officers, and the identity of the presiding judge. They asserted that United States courts cannot hold anyone "except by their own consent" and that the United States (a tad more plausibly) is a "bankrupt corporation." R. 61 at 29, 61. They submitted hundreds of pages of pointless Uniform Commercial Code filings, allegedly related to something called "The One People's Public Trust." R. 18. They mailed the court an itemized bill seeking payment of over \$46 quintillion dollars. On and on it went. Concerned that such conduct might confuse a jury, the judge granted a motion in limine that barred the defendants from raising similar arguments at trial.

Beane and Tucci-Jarraf asked to represent themselves. The judge held a hearing for each of them, complete with the standard-issue inquiries and cautions about self-representation. The defendants provided some unusual answers, but after an extended colloquy the judge concluded that they had knowingly and intelligently waived their right to counsel. He granted their requests. As a safeguard, he granted their request to appoint standby counsel, who can help the defendant during the trial and who can take over if the judge ends the defendant's self-representation. *See McKaskle v. Wiggins*, 465 U.S. 168, 176–78 (1984).

The defendants did their best. They cross-examined the government's witnesses, introduced evidence, testified on their own behalf, and used their closing statements to justify

Nos. 18-5752/5777

*United States v. Tucci-Jarraf, et al.*

Page 5

their worldview. But their best was not good enough. The jury convicted Beane of bank and wire fraud, 18 U.S.C. §§ 1343, 1344, and both defendants of conspiracy to commit money laundering, *id.* § 1956(h).

The court sentenced Beane to 155 months and ordered him to pay over a half-million dollars in restitution. The court sentenced Tucci-Jarraf to 57 months.

## II

Beane and Tucci-Jarraf have had second thoughts about representing themselves. On appeal, they argue that the trial judge should have saved them from themselves. No cause for reversal has been shown.

The right to counsel of the Sixth Amendment has paternalistic and libertarian components to it. On one side, all defendants have the right to counsel in criminal cases, along with the right to have the government appoint counsel if the defendant cannot afford it, all to the end of ensuring that the government does not remove someone's liberty to live freely in society without sound legal representation. U.S. Const. amend. VI; *see Gideon v. Wainwright*, 372 U.S. 335, 344 (1963). On the other side, all defendants, whether lawyers or not, have a right to represent themselves—what amounts to the right to reject counsel and to confront the government alone. *See Faretta v. California*, 422 U.S. 806, 817–18 (1975). Vindication of the goals of the second option often comes at the expense of the goals of the first.

Look no further than one reality of self-representation to see the tension. While the Sixth Amendment guarantees a minimum level of competence that all criminal defense lawyers must meet during a criminal case, *see Strickland v. Washington*, 466 U.S. 668, 686 (1984), that guarantee does not apply to self-representation, *see Faretta*, 422 U.S. at 834 n.46. The right to waive counsel includes the right to waive effective counsel. The self-lawyer thus is free to behave as the eccentric his client selected, and that is no concern of the Sixth Amendment. *See Wiggins*, 465 U.S. at 177 n.8. Libertarian indeed.

All of this confirms that, generally speaking, the only way out of the consequences of bad representation in a self-represented criminal trial is proof that the trial court erred in letting the

**UNITED STATES DISTRICT COURT  
EASTERN DISTRICT OF TENNESSEE  
AT KNOXVILLE**

**UNITED STATES OF AMERICA**

**v.**

**RANDALL KEITH BEANE, and  
HEATHER ANN TUCCI-JARRAF**

)  
)  
)  
)  
)  
)

**No.: 3:17-CR-82  
Judges Varlan/Shirley**

**UNITED STATES'S REQUESTED JURY INSTRUCTIONS**

The United States of America, by and through the United States Attorney for the Eastern District of Tennessee, submits the following proposed jury instructions for the trial of this case. The United States requests that this instruction be given, along with the Court's standard jury charge.

Respectfully submitted,

J. DOUGLAS OVERBEY  
UNITED STATES ATTORNEY

By: s/ Cynthia F. Davidson  
Cynthia F. Davidson  
Anne-Marie Svolto  
Assistant United States Attorneys  
800 Market Street, Suite 211  
Knoxville, Tennessee 37902  
(865) 545-4167



statements to be true. This guilty knowledge, however, cannot be established by demonstrating that the defendant was merely negligent or foolish.<sup>1</sup>

- d. A misrepresentation or concealment is “material” if it has a natural tendency to influence or is capable of influencing the decision of a person of ordinary prudence and comprehension.
- e. To act with “intent to defraud” means to act with an intent to deceive or cheat for the purpose of either causing a financial loss to another or bringing about a financial gain to oneself.
- f. To “cause” wire, radio or television communications to be used is to do an act with knowledge that the use of the communications will follow in the ordinary course of business or where such use can reasonably be foreseen.
- g. The term “interstate commerce” includes wire, radio or television communications which crossed a state line.

- 3) It is not necessary that the government prove all of the details alleged concerning the precise nature and purpose of the scheme or that the material transmitted by wire, radio or television communications was itself false or fraudulent or that the alleged scheme actually succeeded in defrauding anyone or that the use of the wire, radio or television communications was intended as the specific or exclusive means of accomplishing the alleged fraud or that someone relied on the misrepresentation or false statement or that the defendant obtained money or property for his own benefit.
- 4) If you are convinced that the government has proved all of the elements as to the charge you are considering, say so by returning a guilty verdict on that charge. If you have a

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<sup>1</sup> Adapted from 2.09 Pattern Jury Instructions, Criminal Cases, Sixth Circuit (20



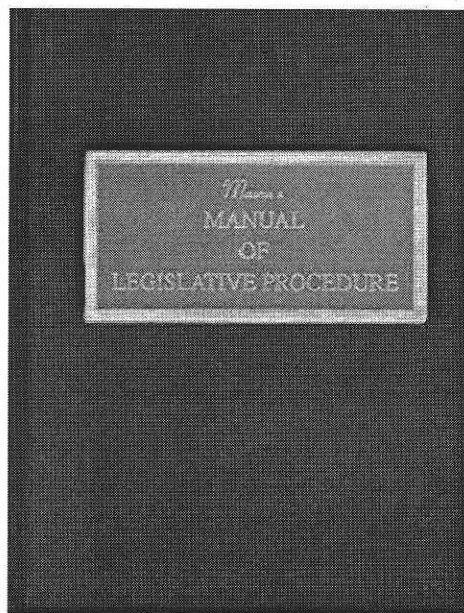
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**Exh. #19.1**



principles, such as the requirement of a meeting, a quorum or a majority vote, apply to all groups, in all instances and without adoption, superseding adopted rules and statutes, while other rules, sometimes also called parliamentary law, are mere custom and give way to adopted rules and apply only when the assembly has not adopted any contrary rule or practice. It is often necessary to determine in which sense the term is used.

6. There is a reason for every form of parliamentary procedure that has been long sanctioned by general usage. These procedures have been approved by experience, have been found useful and expedient in practice, and have grown into general acceptance.

*Sec. 4, Par. 5; Waples, Sec. 201.*

## CHAPTER 2

### CONSTITUTIONAL PROVISIONS GOVERNING PROCEDURE

*See also Sec. 281, Rights of Legislative Bodies to Suspend Rules.*

#### Sec. 6. Legislative Procedure Is Controlled by Constitutional Provisions

*See also Sec. 3, Each House Determines the Rules of Its Own Proceedings.*

1. Provisions in the constitutions of the states usually provide, among other things, that each house of the legislature shall determine the rules of its proceedings; that each house shall judge the qualifications, election and returns of members; that each house may choose its officers; that a majority of the house shall constitute a quorum; that each house may discipline or expel members; and that each house shall keep a journal.

2. A constitutional provision regulating procedure controls over all other rules of procedure.

#### Sec. 7. Constitutional Requirements Concerning Procedure Must Be Complied With

*See also Ch. 64, Secs. 694-703, Journals and Records.*

1. Being organic in character, constitutional provisions stand on a higher plane than statutes and are mandatory. Constitutional provisions prescribing exact or exclusive time or methods for certain acts are mandatory and must be complied with. Constitutional provisions that are general in nature and not exclusive may be directory. Examples of directory provisions are given below.



- (a) Where there is no constitutional provision requiring that a legislature read a bill on three separate days, a law to that effect is directory only, and an act passed without complying with the statute is not invalidated thereby.
- (b) A provision for recording the yeas and nays on the passage of a bill may be directory only and not mandatory.

2. If Congress or a state legislature violates a constitutional requirement, the courts will declare its enactment void.

3. Under a state constitution, unlike the U.S. Constitution, legislation not prohibited may be allowed. State constitutional provisions are not grants of power, but, instead, are limitations on otherwise plenary power of the people of a state exercised through its legislature. A legislature may enact any laws that state or federal constitutions do not prohibit.

*Sec. 7, Par. 1: Caprio v. Topping (W.Va., 1909).*

*Sec. 7, Par. 1(d): Schweitzer v. Territory (Okla., 1897); Caprio v. Topping (W.Va., 1909).*

*Sec. 7, Par. 1(b): People ex rel. Scott v. Supervisors of Chenango (N.Y., 1853).*

*Sec. 7, Par. 2: Missouri ex rel. Fox v. Alt (Mo., 1887).*

*Sec. 7, Par. 3: New Orleans Firefighters Ass'n v. Civil Service Com'n of City of New Orleans (La., 1982); Aguilard v. Treen (La., 1983); Banner County, Nebraska v. State Bd. of Equalization and Assessment (Neb., 1987).*

## CHAPTER 3

### ADOPTED RULES GOVERNING PROCEDURE

*See also Ch. 28, Secs. 279-286, Suspension of the Rules.*

#### Sec. 10. Right to Adopt Rules

1. A legislative body has the right to adopt rules of procedure.
2. It is customary for every legislative body to adopt rules that provide for its organization, for its officers and committees, and for special rules of procedure. In practice, most of the rules relating to procedure are based upon general parliamentary law, but they also may contain rules of procedure applicable to the body that deviate from parliamentary usage.
3. The power of each house of a state legislature to make its own rules is subordinate to the rules contained in the constitution.
4. Either house of Congress or either house of a state legislature may make special rules for itself. Any other assembly may make regulations for its own government, and they may be partly or wholly different from those of the established parliamentary procedure.

*Sec. 10, Par. 1: French v. California Senate (Calif., 1905); Witherspoon v. Mississippi ex rel. West (Miss., 1925).*

*Sec. 10, Par. 2: Cushing's Legislative Assemblies, Secs. 306-313; Hughes, Sec. 5; Tayloe v. Davis (Ala., 1924); Dye v. Mississippi (Miss., 1987); Heimbach v. New York (N.Y., 1982).*

*Sec. 10, Par. 3: Tayloe v. Davis (Ala., 1924); Sec. 10, Par. 4: Waples, Sec. 209; Heimbach v. New York (N.Y., 1982).*



4. The rules under which a deliberative body will operate may be changed, suspended or waived at the body's pleasure where the rules of procedure are within control of the majority.

#### Sec. 15. Failure of a House of the Legislature to Conform to Its Rules Does Not Invalidate Its Acts

*See also Sec. 13, Right to Change Rules; Sec. 32, Effect of Adoption of Parliamentary Authority, particularly Par. 3; Sec. 73, Powers of Courts over Legislative Bodies Generally, particularly Par. 3; Sec. 281, Right of Legislative Bodies to Suspend Rules; Sec. 284, Suspension of Rules by Implication, and Sec. 407, Amendment of Rules.*

1. Violation of rules of procedure adopted by a house of the legislature for its own convenience and not required by the constitution will not impair the validity of a statute.

2. A legislative body having the right to do an act must be allowed to select the means of accomplishing such act within reasonable bounds.

3. A rule is virtually repealed for the occasion when it is disregarded by those who have power to control it; and the act of breaking it is at least a suspension of it. The body at its preceding meetings does not have the power

*Sec. 14, Par. 4: Commonwealth ex rel. Fox v. Chace (Pa., 1961).*

*Sec. 15, Par. 1: Tayloe v. Davis (Ala., 1924); Goodwin v. State Bd. of Admin. (Ala., 1925); Missouri ex rel. Fox v. Alt (Mo., 1887); Ohio ex rel. Grendell v. Davidson (Ohio, 1999); Bryan v. Liburd (Virgin Is., 1996).*

*Sec. 15, Par. 2: Attorney General v. Brissenden (Mass., 1930).*

to bind its successors or to put shackles on it that might be cast off only in a particular way.

4. Under a constitutional provision declaring that each house of the legislature shall determine the rules of its own proceedings, the fact that a house acted in violation of its own rules or in violation of parliamentary law in a matter clearly within its power does not make its action subject to review by the courts.

#### Sec. 16. Fraud Will Invalidate Acts

*See also Sec. 43, Indispensable Requirements for Making Valid Group Decisions, particularly Par. 9.*

Where there is more than a mere technical violation of the rules of procedure, the violation may invalidate the act, and an act will be invalidated where there is fraud or bad faith.

*Sec. 15, Par. 3: Commonwealth v. Mayor of Lancaster (Pa., 1836).*

*Sec. 15, Par. 4: Connecticut v. Sav. Bank of New London (Conn., 1906); Ohio ex rel. Grendell v. Davidson (Ohio, 1999); Bryan v. Liburd (Virgin Is., 1996).*

*Sec. 16: South Georgia Power Co. v. Baumann (Ga., 1929); People v. Albany and Susquehanna R.R. Co. (N.Y., 1869).*



### Sec. 727. Bill Numbers

1. The number of a bill is not part of the act but is a convenient device for identifying bills in legislative procedure.
2. When there is a discrepancy between the number and the title of a bill, the title will control.
3. When a bill is identified only by number and the number could refer to a different bill, it will not be presumed that a wrong number was erroneously used.

### Sec. 728. Titles to Legislation

1. The main object of a provision requiring that every act shall embrace but one subject that shall be expressed in its title is to prevent a legislative body and the public from being entrapped by misleading titles, whereby legislation relating to one subject might be obtained under the title of another; and in the accomplishment of this object, the provision is not to receive narrow or technical construction.
2. Discrepancies and irregularities in the title of legislation as it appears in various places in the journal will not invalidate the legislation as long as there is no question of identity and the legislation is properly enrolled.

*Sec. 727, Par. 1: Volusia County v. Florida (Fla., 1929).*

*Sec. 727, Par. 3: Wisconsin v. Wendler (Wis., 1896).*

*Sec. 728, Par. 1: Thornton v. Alabama (Ala., 1990); Heiron v. Riley (Calif., 1930); People v. Cannady (Ill., 1987); Liffcau v. Metropolitan Sports Facilities Comm'n (Minn., 1977); Schabrough v. Texas (Texas, 1987); Benedict v. Polan (W.Va., 1991).*

3. When the object of an act as passed is fully expressed in the title, the form or status at its introduction, or during the stages of legislation before it becomes a law, is immaterial.

4. It is not necessary that an act retain the same title through all its stages in both houses. The title of the bill as it is adopted by the legislature controls, not the title by which the bill may have been introduced or that it may have carried in reports of committees.

### Sec. 729. Enacting Clauses

*See also Sec. 146, Bills, particularly Par. 4.*

The enacting clause, which also may be called the enacting authority or enacting style, follows immediately after a bill's preamble or title and precedes the body of the bill. It is a statement of the words declaring enactment by the proper legislative authority which every bill must contain and which are requisite to the validity of a law. The usual introductory formula is "Be it enacted by ..."

*Sec. 728, Par. 2: Town of Walnut v. Wade (U.S., 1880); Clifton v. Alabama (Ala., 1928); Desha-Drew Road Improvement Dist. v. Taylor (Ark., 1917); Florida v. Betha (Fla., 1911); Weyand v. Stover (Kan., 1886); Ellis v. Parsell (Mich., 1894); Ex parte Seward (Mo., 1923); Tyson v. City of Salisbury (N.C., 1909); Nelson v. Haywood County (Tenn., 1892).*

*Sec. 728, Par. 3: People ex rel. Hart v. McElroy (Mich., 1888); Detroit Common Council v. Schmid (Mich., 1901); Nebraska ex rel. 1st Nat'l Bank of Atkinson v. Cronin (Neb., 1904).*

*Sec. 728, Par. 4: Town of Walnut v. Wade (U.S., 1880); Chicago B. & Q. R. Co. v. Smyth (D.C. Neb., 1900); Illinois Central R. Co. v. People (Ill., 1892); Missouri ex rel. Aull v. Field (Mo., 1894); Ex parte Seward (Mo., 1923); Nelson v. Haywood County (Tenn., 1892); Milwaukee County v. Isenring (Wis., 1901).*



## PART X

## INVESTIGATIONS AND PUBLIC ORDER

## CHAPTER 73

## INVESTIGATIONS BY LEGISLATIVE BODIES

Sec. 795. Right of a Legislative Body to Make  
Investigations

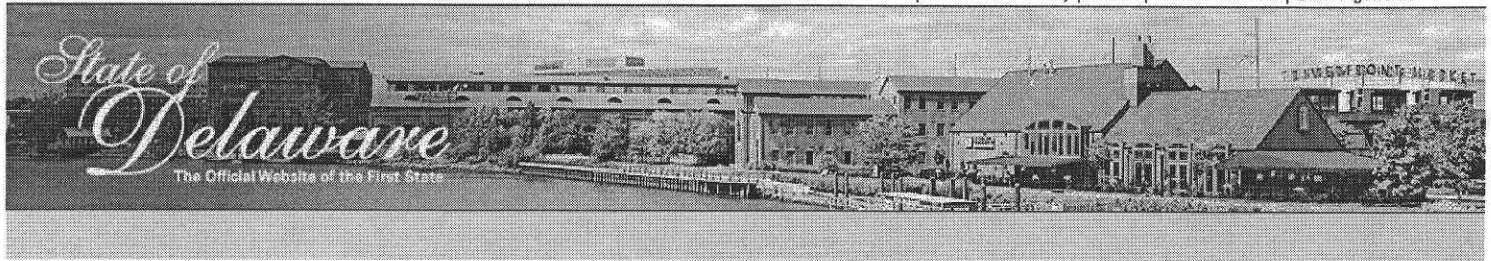
1. The right of a legislative body to make investigations in order to assist it in the preparation of wise and timely laws must exist as an indispensable incident and auxiliary to the proper exercise of legislative power. This has been recognized from the earliest times in the history of U.S. legislation, both federal and state, and from even earlier epochs in the development of British jurisprudence.

2. The legislature has the power to investigate any subject regarding which it may desire information in connection with the proper discharge of its function to enact, amend or repeal statutes or to perform any other act delegated to it by the constitution.

*Sec. 795, par. 1:* Anderson v. Dunn (U.S., 1821); Kilbourn v. Thompson (U.S., 1880); *In re* Chapman (U.S., 1897); Harriman v. Interstate Commerce Comm'n (U.S., 1908); Marshall v. Gordon (U.S., 1917); Goldman v. Olson (W.D. Wis., 1968); *In re* Battelle (Calif., 1929); Murphy v. Collins (Ill., 1974); Burnham v. Morrissey (Mass., 1859); Briggs v. Mackellar (N.Y., 1855); Robertson v. Peeples (S.C., 1919).

*Sec. 795, par. 2:* *Ex parte* McCarthy (Calif., 1866); Greenfield v. Russel (Ill., 1920); Attorney General v. Brissenden (Mass., 1930); Briggs v. Mackellar (N.Y., 1855); *People ex rel* McDonald v. Keeler (N.Y., 1885); Simpson v. Hill (Okla., 1927); Commonwealth v. Costello (Pa., 1912); Wisconsin *ex rel* Roschenheim v. Ficar (Wis., 1909).





Department of State: Division of Corporations

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## Entity Details

## THIS IS NOT A STATEMENT OF GOOD STANDING

File Number:

2193946

Incorporation

4/19/1989

Date /

(mm/dd/yyyy)

Formation

Date:

Entity Name:

UNITED STATES OF AMERICA, INC.

Entity Kind:

Corporation

Entity Type:

Exempt

Residency:

Domestic

State:

DELAWARE

## REGISTERED AGENT INFORMATION

Name:

THE COMPANY CORPORATION

Address:

251 LITTLE FALLS DRIVE

City:

WILMINGTON

County:

New Castle

State:

DE

Postal Code:

19808

Phone:

302-636-5440

Additional Information is available for a fee. You can retrieve Status for a fee of \$10.00 or more detailed information including current franchise tax assessment, current filing history and more for a fee of \$20.00.

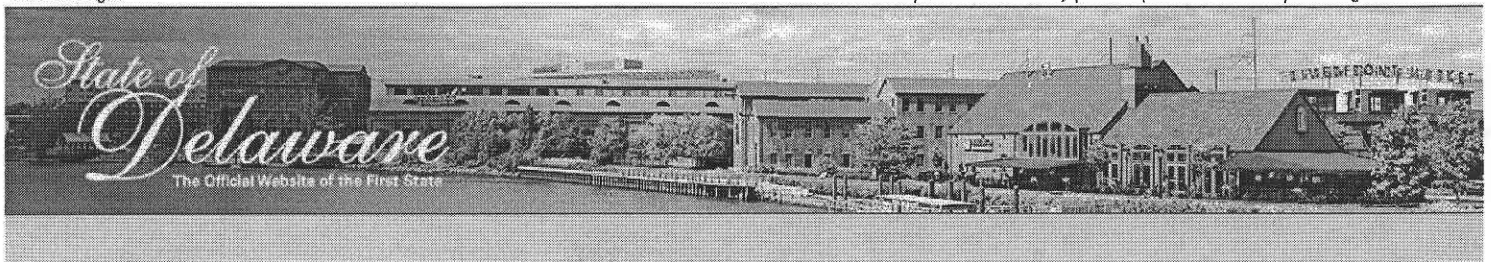
Would you like ☐ Status ☐ Status, Tax & History Information

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**Exh. #20.1**





Department of State: Division of Corporations

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## Entity Details

## THIS IS NOT A STATEMENT OF GOOD STANDING

File Number:	4525682	Incorporation Date / Formation Date:	4/14/2008 (mm/dd/yyyy)
Entity Name:	THE UNITED STATES OF AMERICA, INC.		
Entity Kind:	Corporation	Entity Type:	General
Residency:	Domestic	State:	DELAWARE

## REGISTERED AGENT INFORMATION

Name:	SPIEGEL & UTRERA, P.A.		
Address:	9 EAST LOOCKERMAN ST STE 202		
City:	DOVER	County:	Kent
State:	DE	Postal Code:	19901
Phone:	302-744-9800		

Additional Information is available for a fee. You can retrieve Status for a fee of \$10.00 or more detailed information including current franchise tax assessment, current filing history and more for a fee of \$20.00.

Would you like ☐ Status ☐ Status, Tax & History Information

For help on a particular field click on the Field Tag to take you to the help area.

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**Exh. #20.2**



contains the following clause: "If any person, through temptation or melancholy, shall destroy himself, his estate, real and personal, shall, notwithstanding, (descend to his wife and children, or relations, as if he had died a natural death."

**FELON**, crimes. One convicted and sentenced for a felony.

2. A felon is infamous, and cannot fill any office, or become a witness in any case, unless pardoned, except in cases of absolute necessity, for his own preservation, and defence; as, for example, an affidavit in relation to the irregularity of a judgment in a cause in which he is a party. 2 Salk. R. 461; 2 Str. 1148; Martin's R. 25; Stark. Ev. part 2, tit. Infamy. As to the effect of a conviction in one state, where the witness is offered in another, see 17 Mass. R. 515 2 Harr. & McHen. R. 120, 378; 1 Harr. & Johns. R. 572. As to the effect upon a copartnership by one of the partners becoming a felon, see 2 Bouv. Inst. n. 1493.

**FELONIOUSLY**, pleadings. This is a technical word which must be introduced into every indictment for a felony, charging the offence to have been committed feloniously; no other word, nor any circumlocution, will supply its place. Com. Dig. Indictment, G 6; Bac. Ab. Indictment, G 1; 2 Hale, 172, 184; Hawk. B. 2. c. 25, s. 55 Cro. C. C. 37; Burn's Just. Indict. ix.; Williams' Just. Indict. iv.-, Cro. Eliz. 193; 5 Co. 121; 1 Chit. Cr. Law, 242.

**FELONY**, crimes. An offence which occasions a total forfeiture of. either lands or goods, or both, at common law, to which capital or other punishment may be super-added, according to the degree of guilt. 4 Bl. Com, 94, 5; 1 Russ. Cr. \*42; 1 Chit. Pract. 14; Co. Litt . 391; 1 Hawk. P. C. c. 37; 5 Wheat. R. 153, 159.

**FEMALE**. This term denotes the sex which bears young.

2. It is a general rule, that the young of female animals which belong to us, are ours, nam fetus ventrem sequitur. Inst. 2, 1, 19; Dig. 6, 1, 5, 2. The rule is, in general, the same with regard to slaves; but when a female slave comes into. a free state, even without the consent of her master, and is there delivered of a child, the latter is free. Vide Feminine; Gender; Masculine.

**FEME**, or, more properly,

**Exh. #21**

**FEMME**. Woman.

2. This word is frequently used in law. Baron and feme, husband and wife; feme covert, a. married woman; feme sole, a single woman.

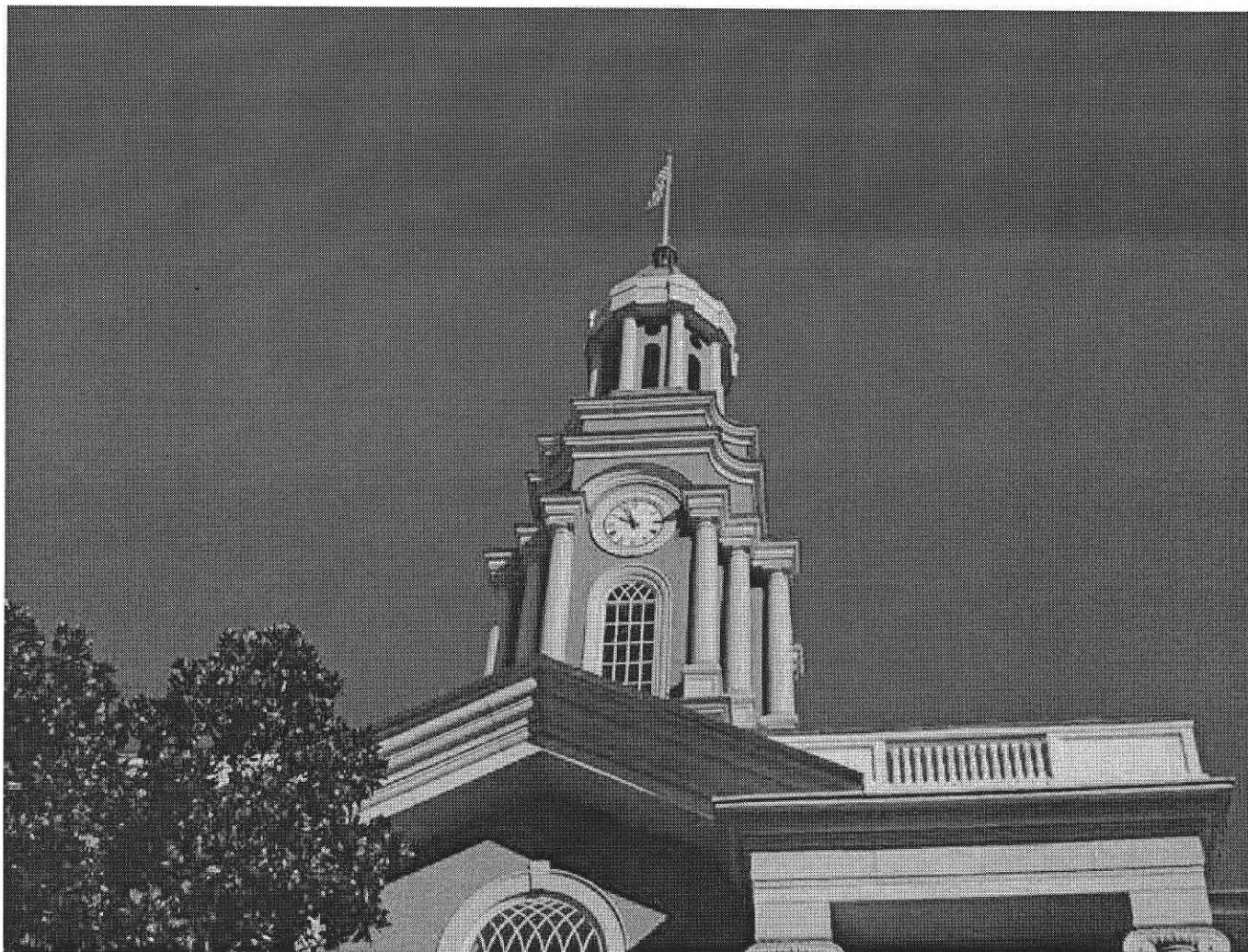
3. A feme covert, is a married woman. A feme covert may sue and be sued at law, and will be treated as a feme sole, when the husband is civiliter mortuus. Bac. Ab. Baron and Feme, M; see article, Parties to Actions, part 1, section 1, §7, n. 3; or where, as it has been decided in England, he is an alien and has left



## Judicial Officers

The district judges of our court are Article III judges, that is, they are appointed by the President of the United States, with approval of the Senate, under authority of Article III of the United States Constitution. They are appointed to lifetime terms.

We also have magistrate judges. They are appointed by the district judges and serve eight-year terms. Their duties are much like those of the district judges, except they do not have authority to try criminal cases, except misdemeanors. They can try civil cases by consent of the parties and do try a number of civil cases each year.



**Exh. #22**



## 28 U.S. Code § 1331 - Federal question

U.S. Code	Notes
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	<p><u>The district courts shall have original jurisdiction of all civil actions arising under the Constitution, laws, or treaties of the United States.</u></p>
--	---

(June 25, 1948, ch. 646, 62 Stat. 930; Pub. L. 85-554, § 1, July 25, 1958, 72 Stat. 415; Pub. L. 94-574, § 2, Oct. 21, 1976, 90 Stat. 2721; Pub. L. 96-486, § 2(a), Dec. 1, 1980, 94 Stat. 2369.)

**Exh. #23**



## 28 U.S. Code § 1332 - Diversity of citizenship; amount in controversy; costs

U.S. Code	Notes
-----------	-------

<p><b>(a)</b> <u>The district courts shall have original jurisdiction of all civil actions where the matter in controversy exceeds the sum or value of \$75,000, exclusive of interest and costs, and is between—</u></p>	
---	--

**Exh. #24**

21.2-6.1

**LAWS**

*Dr. Campbell*

**THE UNITED STATES OF AMERICA,**

FROM

THE 4th OF MARCH, 1789, TO THE 4th OF MARCH, 1815,

**INCLUDING**

**THE CONSTITUTION OF THE UNITED STATES, THE OLD ACT OF  
CONFEDERATION, TREATIES,**

AND MANY OTHER VALUABLE ORDINANCES AND DOCUMENTS;

WITH

**COPIOUS NOTES AND REFERENCES.**

ARRANGED AND PUBLISHED UNDER THE AUTHORITY OF AN ACT OF CONGRESS.

**IN FIVE VOLUMES.**

NEW YORK  
VOL. I  
PUBLIC  
LIBRARY

PUBLISHED BY

JOHN BIOREN AND W. JOHN DUANE, PHILADELPHIA, AND  
R. C. WEIGHTMAN, WASHINGTON CITY.

1815.

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**Exh. #25.1**

Actual mode of electing the president and vice president of the United States.

[Note. In illustration of this amendment, see chap. 403, vol. 3.]

tives, open all the certificates, and the votes shall then be counted: the person having the greatest number of votes for president, shall be the president, if such number be a majority of the whole number of electors appointed; and if no person have such majority, then from the persons having the highest numbers, not exceeding three, on the list of those voted for as president, the house of representatives shall choose immediately, by ballot, the president. But in choosing the president, the votes shall be taken by states, the representation from each state having one vote; a quorum for this purpose shall consist of a member or members from two thirds of the states, and a majority of all the states shall be necessary to a choice. And if the house of representatives shall not choose a president whenever the right of choice shall devolve upon them, before the fourth day of March next following, then the vice-president shall act as president, as in the case of the death or other constitutional disability of the president.

2. The person having the greatest number of votes as vice president, shall be the vice president, if such number be a majority of the whole number of electors appointed; and if no person have a majority, then from the two highest numbers on the list, the senate shall choose the vice president: a quorum for the purpose shall consist of two thirds of the whole number of senators, and a majority of the whole number shall be necessary to a choice.

3. But no person constitutionally ineligible to the office of president, shall be eligible to that of vice president of the United States.

#### ARTICLE 13.

Citizenship forfeited by the acceptance, from a foreign power, of any title of nobility, office of emolument of any kind, &c. [See, as connected with this subject, ante, art. 1, sec. 9, clause 7, page 65.]

If any citizen of the United States shall accept, claim, receive, or retain any title of nobility or honor, or shall, without the consent of congress, accept and retain any present, pension, office, or emolument of any kind whatever, from any emperor, king, prince, or foreign power, such person shall cease to be a citizen of the United States, and shall be incapable of holding any office of trust or profit under them, or either of them.

[Note. The 11th article of the amendments to the constitution, was proposed at the second session of the third congress: the 12th article, at the first session of the eighth congress: and the 13th article, at the second session of the eleventh congress.]

#### CHAPTER 5.

Treaty establishing the rules of correspondence and commerce between the United States and France.

Treaties, contracts, and conventions, concluded, at different periods, between the United States of America and France, up to the year 1814.

No. 1. Treaty of amity and commerce between the United States of America and his most christian majesty.

ORIGINAL.

Treaty of amity and commerce.

ORIGINAL.

Traite d'amitié et de commerce.

THE most christian king, LE roi très chrétien, et les and the thirteen United States treize Etats Unis de l'Amérique of North America, to wit: New-Septentrionale, savoir, New Hampshire, Massachusetts Bay, Hampshire, la Baye de Massa-



IN THE UNITED STATES DISTRICT COURT  
FOR THE EASTERN DISTRICT OF TENNESSEE  
AT KNOXVILLE

UNITED STATES OF AMERICA,

Plaintiff,

vs.

Case No.: 3:17-CR-82

RANDALL KEITH BEANE AND  
HEATHER ANN TUCCI-JARRAF,

Defendants.

VOLUME IV of VIII

JURY TRIAL PROCEEDINGS  
BEFORE THE HONORABLE THOMAS A. VARLAN

January 26, 2018  
9:04 a.m. to 4:32 p.m.

**APPEARANCES:**

**FOR THE PLAINTIFF:**

CYNTHIA F. DAVIDSON, ESQUIRE  
ANNE-MARIE SVOLTO, ESQUIRE  
Assistant United States Attorney  
United States Department of Justice  
Office of the United States Attorney  
800 Market Street  
Suite 211  
Knoxville, Tennessee 37902

**FOR THE DEFENDANT:  
RANDALL BEANE**

RANDALL KEITH BEANE, PRO SE  
Blount County Detention Center  
920 East Lamar Alexander Parkway  
Maryville, Tennessee 37904

**FOR THE DEFENDANT:  
(As Elbow Counsel)**

STEPHEN G. McGRATH, ESQUIRE  
9111 Cross Park Drive  
Suite D-200  
Knoxville, Tennessee 37923

**Exh. #26.1**

**REPORTED BY:**

Rebekah M. Lockwood, RPR, CRR  
Official Court Reporter  
(865) 210-6698  
P.O. Box 1823  
Knoxville, Tennessee 37901-1823

Sean O'Malley - Continued Cross-Examination

1 window.

2 Q So, then, ACH credits are given to USAA in this  
3 particular instance. Is that what you're saying?

4 A So in this particular instance, USAA received a  
5 credit, but basically what they did is they debited out of the  
6 Ginnie Mae's securities account at the Federal Reserve Bank of  
7 New York, and they pulled \$30.5 million out of the account in  
8 30-some-odd tranches, and each one of those ACH debits that  
9 were pulled out of the Ginnie Mae securities had to be returned  
10 within a two-day window, and they were, so that USAA -- the  
11 funds were taken back out of the USAA account, put back in the  
12 Ginnie Mae securities account, and there was no loss to the  
13 U.S. government.

14 Q What is Ginnie Mae securities account?

15 A That is the account -- the routing number of the  
16 account that was debited.

17 Q Okay. So each routing number of all 12 Federal  
18 Reserve Banks, they all go to the Ginnie Mae's securities  
19 account?

20 A No. So the ACH fraud started out by people looking  
21 up Federal Reserve routing numbers and using those routing  
22 numbers to debit or pull money out of those routing numbers.  
23 It morphed into looking for any U.S. government routing number  
24 and then they started pulling it from the various different  
25 routing numbers that we talked about, U.S. -- the Federal

UNITED STATES DISTRICT COURT

**Exh. #26.2**



it had been brought there by original process. And any attachment of the goods or estate of the defendant, by the original process, shall hold the goods or estate so attached, to answer the final judgment, in the same manner as by the laws of such state they would have been holden to answer final judgment, had it been rendered by the circuit court in which the suit commenced. Vide Act of September 24, 1789, 12; 4 Dall. 11; 5 Cranch, 303; 4 Johns. R. 493; 1 Pet. R. 220; 2 Yeates, R. 275; 4 W. C. C. R. 286, 344.

85. By the Constitution, art. 3, 2, 1, the judicial power shall extend to controversies between citizens of the same state, claiming lands under grants of different states.

86. By a clause of the 12th section of the Act of September 24th, 1789, it is enacted, that, if in any action commenced in a state court, the title of land be concerned, and the parties are citizens of the same state, and the matter in dispute exceeds the sum or value of five hundred dollars, exclusive of costs, the sum or value being made to appear to the satisfaction of the court, either party, before the trial, shall state to the court, and make affidavit, if it require it, that he claims, and shall rely upon a right or title to the land, under grant from a state, other than that in which the suit is pending, and produce the original grant, or an exemplification of it, except where the loss of records shall put it out of his power, and shall move that the adverse party inform the court, whether he claims a right of title to the land under a grant from the state in which the suit is pending; the said adverse party shall give such information, otherwise not be allowed to plead such grant, or give it in evidence upon the trial; and if he informs that he does claim under any such grant, the party claiming under the grant first mentioned, may then, on motion, remove the cause for trial, to the next circuit court to be holden in such district. But if he is the defendant, he shall do it under the same regulations, as in the before mentioned case of the removal of a cause into such court by an alien. And neither party removing the cause shall be allowed to plead, or give evidence of, any other title than that by him stated as aforesaid, as the ground of his claim. See 9 Cranch, 292 2 Wheat. R. 378.

87. Application for removal must be made during the term at which the defendant enters his appearance. 1 J. J. Marsh. 232. If a state court agree to consider a petition to remove the cause as filed of the preceding term, yet if the circuit court see by the record, that it was not filed till a subsequent term, they will not permit the cause to be docketed. Pet. C.. C. R. 44 Paine, 410 but see 2 Penning. 625.

88. In chancery, when the defendant wishes to remove the suit, he must file his petition when he enters his appearance; 4 Johns. Ch. 94; and in an action in a court of law, at the time of putting in special bail. 12 Johns. 153. And if an alien file his petition when he filed special bail, he is in time, though the bail be excepted to. 1 Caines, 248; Coleman, 58. A defendant in ejectment may file his petition. when he is let in to defend. 4 Johns. 493. See Pet. C. C. R. 220; 2 Wash. C. C. R. 463; 2 Yeates, 275, 352; 3 Dall. 467; 4 Wash. C. C. R. 286; 2 Root 444; 5 John. Ch. R. 300 3 Harn. 48; 4 Wash. C. C. R. 84. 3d. Remedy by Mandamus.

89. The power of the circuit Court to issue a mandamus, is confined, exclusively, to cases in which it may be necessary for the exercise of a jurisdiction already existing; as, for instance, if the court below refuse to proceed to judgment, then a mandamus in the nature of a procedendo may issue. 7 Cranch, 504; 6 Wheat. R. 598. After the state court had refused to permit the removal of a cause on petition, the circuit

#### 4th. Appellate Jurisdiction.

### Exh. #27.1

90. The appellate jurisdiction is exercised by means of, 1. Writs of error. 2 Appeals from the district courts in admiralty and maritime jurisdiction. 3. Certiorari. 4. Procedendo.

91. - [1.] This court has jurisdiction to issue writs of error to the district court, on judgments of that court in civil cases at common law.

92. The 11th section of the Act of September 24, 1789, provides, that the circuit courts shall also have appellate jurisdiction from the district courts, under the regulations and restrictions thereafter provided.

93. By the 22d section, final decrees and judgments in civil actions in a district court, where the matter in dispute exceeds the, sum or value of fifty dollars, exclusive of costs, may be reexamined, and reversed or affirmed in a circuit court holden in the same district, upon a writ of error, whereto shall be annexed and returned therewith at the day and place therein mentioned, an authenticated transcript of the record and assignment of errors, and prayer for reversal, with a citation to the adverse party, signed by the judge of such district court, or a justice of the supreme court, the adverse party having at least twenty days notice. But there shall be no reversal on such writ of error, for error in ruling any plea in abatement, other than a plea to the jurisdiction of the court, or for any error in fact. And writs of error shall not be brought but within five years after rendering or passing the judgment or decree complained of; or, in case the person entitled to such writ of error be an infant, non compos mentis, or imprisoned, then within five years, as aforesaid, exclusive of the time of such disability. And every justice or judge signing a citation or any writ of error as aforesaid, shall take good and sufficient security, that the plaintiff in error shall prosecute his writ to effect, and answer all damages and costs, if he fail to make his plea good.

94. The district judge cannot sit in the circuit court on a writ of error to the district court. 5 Wheat. R. 434.

95. It is observed above, that writs of error may be issued to the district court in civil cases at common law, but a writ of error does not lie from a circuit to a district court in an admiralty or maritime cause. 1 Gall. R. 5..

96. - [2.] Appeals from the district to the circuit court take place generally in civil causes of admiralty or maritime jurisdiction.

97. By the Act of March 3, 1803, 2, it is enacted, that from all final judgments or decrees in any of the district courts of the United States, an appeal where the matter in dispute, exclusive of costs, shall exceed the sum or value of fifty dollars, shall be allowed to the district court next to be holden in the district where such final judgment or judgments, decree or decrees shall be rendered: and the circuit courts are thereby authorized and required, to hear and determine such appeals.

98. - [3.] Although no act of congress authorizes the circuit court to, issue a certiorari to the district court for the removal of a cause, yet if the cause be so removed, and instead of taking advantage of the irregularity in proper time and in a proper manner, the defendant makes the defence and pleads to issue, he thereby waives the objection, and the suit will be considered as an original one in the circuit court, made so by consent of parties. 2 Wheat. R. 221.

99.-[4.1 The circuit court may issue a writ of procedendo to the district court.

### **Equity Jurisdiction of the Circuit Courts.**

**Exh. #27.2**

100. Circuit courts are vested with equity jurisdiction in certain cases. The Act of September, 1789, 11, gives original cognizance, concurrent with the courts of the several states, of all suits of a civil nature at common law or in equity, where the matter in dispute exceeds, exclusive of costs, the sum or value of five hundred dollars, and the United States are plaintiffs or petitioners, or an alien is a party, or. the suit is between a citizen of the state where the